

EPA RFA/SBREFA Tracking Report

Rules Affecting Small Entities

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FINAL
October 1999



EPA Tracking Report: Rules Affecting Small Entities

Overview

It is EPA's policy to reach out to small entities¹ on each rule that may affect them. In order to promote and reinforce that policy, the Agency created this report to track rules of particular interest to small entities (i.e., rules with the potential to affect them). This report also tracks the status of rules which may be subject to certain procedural requirements under the Regulatory Flexibility Act (RFA), as amended by the Small Business Regulatory Enforcement Fairness Act (SBREFA). Under RFA/SBREFA, the Agency must prepare a formal analysis of the potential negative impacts on small entities, convene a Small Business Advocacy Review Panel² (proposed rule stage), and prepare a Small Entity Compliance Guide (final rule stage) **unless** the Agency certifies a rule will not have a significant economic impact on a substantial number of small entities (SISNOSE).

This report is divided into five parts. Part 1 lists all planned proposed rules that the Agency believes at this time have the potential to affect small entities. Part 2 identifies the rules listed in Part 1 for which the Agency may convene a Small Business Advocacy Review (SBAR) Panel. Part 3 lists the proposed rules for which EPA has completed a SBAR Panel. Part 4 lists rules for which the Agency may prepare a Small Entity Compliance Guide. Lastly, Part 5 lists those final rules for which EPA has completed compliance guides. Please note that Parts 2 and 4 of this report do not represent a commitment by the Agency to either convene SBAR Panels or prepare Small Entity Compliance Guides for listed rules. Instead, the lists represent the Agency's initial assessment as to which rules under development may have the potential to have a significant impact on a substantial number of small entities, thereby requiring an initial or final regulatory flexibility analysis. However, during the development of a rule, further outreach, analysis, and modifications to the rule may affect the initial determination. In some cases, if the Agency later determines that the rule will not have a SISNOSE, the Agency will certify the rule and the rule will be removed from certain parts of this report. A no SISNOSE decision can be made at any point in the rulemaking process and the timing could, therefore, preclude the need for a Panel, the preparation of a Small Entity Compliance Guide, or both.

Part 1: Planned Proposed Rules of Interest to Small Entities

Part 1 of this report is a complete list of pre-proposal rules which may affect small entities. It is important to note that this list includes all of EPA's planned proposed rules that may affect small entities and, therefore, it includes rules to which small entities are not directly subject, as well as rules that may have either beneficial or adverse effects for small entities. Whether or not the rule is subject to the RFA/SBREFA's full range of administrative procedures, it is EPA's policy to consult with affected small entities on each rule that imposes any level of impact on any number of small entities. This policy is based on our history of concern and specific consideration for small entities in our regulatory actions and is the cornerstone of implementing the RFA's principles for small entity flexibility.

¹Small entities includes small businesses, small governments, and small not-for-profit organizations.

²RFA/SBREFA refers to the panels as "Small Business Advocacy Review Panels", but SBAR Panels must consider impacts on all types of small entities subject to the rule.

Part 2: Planned Proposed Rules Which May Require a SBAR Panel

Part 2 of this report lists planned proposed rules for which the Agency has not yet concluded whether it can certify that the rule will not have a "significant economic impact on a substantial number of small entities." Unless the Agency so certifies, RFA/SBREFA requires that the Agency convene SBAR Panels to formally obtain advice and consider recommendations from "individuals representative of affected small entities" about the potential impacts. RFA/SBREFA, through the SBAR Panel process, facilitates small entity participation in the rulemaking process and provides small entities with a special forum to participate in rulemakings of concern at a particularly apt point in the process: while the Agency is still considering regulatory options for proposal. The Panel ensures that small entities directly subject to a planned rule have an opportunity to express their concerns on the potential impacts of the rule, as well as providing input on options under consideration. As mentioned above, the RFA/SBREFA Panel requirement applies to any proposed rule for which notice and comment is required under the Administrative Procedure Act or any other statute, unless the Administrator certifies the rule will not have a SISNOSE under section 605(b) of the RFA.

Typically, when an EPA program office initiates work on a rule, Agency staff initiate discussions and gather information from representatives of the affected interests, including small entities. It is difficult at this stage in the rulemaking process to know how the rule ultimately will affect small entities. However, if the Agency believes the impact on small entities that are subject to the rule may be more than incidental, we list the rule in this part of the report as an action that deserves particular attention and which may require an initial regulatory flexibility analysis and a RFA/SBREFA SBAR Panel.

As part of the SBAR Panel process, EPA works with the Small Business Administration (SBA). When our early screening analysis indicates a Panel may be appropriate, the Agency informally notifies the SBA of our intention to prepare for a SBAR Panel for that rule. EPA also sends to SBA general information about the rule and its potential impacts. This advance notice alerts SBA that we will likely request their suggestions for Small Entity Representatives (SERs) for the SBAR Panel process. If it continues to appear that a Panel may be convened, we then formally notify SBA of our intent to convene a Panel. The Office of Management and Budget (OMB) is also provided with a courtesy copy of this notification. Along with the formal notification, we transmit a statement of the problem the rule is trying to address, a description of the types of small entities affected, a list of SERs already identified, and materials already shared with the SERs. Remember that in most cases the Agency already has been working with small entities at this stage, so this step often just confirms and sometimes expands the list of SERs with whom we have been communicating.

The Agency's small entity outreach prior to convening a Panel culminates in the development of a summary document that contains information on the potential impact of a proposed rule on small entities, and particularly on the several RFA issues referenced in section 609. This summary serves as the basis for convening a SBAR Panel, which is composed of senior officials from the OMB, SBA and EPA. The Panel then conducts its review, carries out additional small entity outreach, and prepares a final report based on the comments from the SERs and the Panel's deliberations. The Panel Report becomes part of the rulemaking record. The SBAR Panel process is only part of EPA's commitment to stakeholder involvement; the Agency continues working with small entities and other interested stakeholders throughout the development of the rule.

However, to reiterate, at any point in the proposed rule development process, the Agency -- through new information, additional analyses, or regulatory accommodations -- may determine that a rule will not have a SISNOSE, remove the rule from this part of the report, and certify the proposed rule.

Part 3: Proposed Rules With Completed SBAR Panels

According to the statute, no later than 60 days after EPA convenes a SBAR Panel, the Panel is to report on the comments of the SERs and the Panel's findings with regard to the issues related to the elements of an initial regulatory flexibility analysis. The Panel report is included in the rulemaking record. Part 3 of this report lists rules for which SBAR Panels were convened and for each rule includes three dates: 1) Panel convening, 2) Panel report signing, and 3) publication of the Notice of Proposed Rule Making (NPRM). The signed Panel report is made part of the public record when the associated NPRM is published in the Federal Register.

Part 4: Planned Final Rules Which May Require a Small Entity Compliance Guide

Part 4 identifies planned final rules that may include Small Entity Compliance Guides. This includes regulations: 1) proposed before the 1996 Amendments to the RFA and, therefore, are not associated with the SBAR Panel process and 2) regulations not certified as having no SISNOSE for which a SBAR Panel was convened. When the Agency prepares a regulatory flexibility analysis for a final rule (i.e., does not certify that the rule will not have a SISNOSE), SBREFA requires that "the agency shall publish one or more guides to assist small entities in complying with the rule, and shall designate such publications as 'small entity compliance guides.'" The guides must explain the actions a small entity is required to take to comply with a rule or group of rules and be "written using sufficiently plain language likely to be understood by affected small entities." It is anticipated that Small Entity Compliance Guides will be prepared for each of the regulations listed in Part 4. However, as with the SBAR Panel requirement, if the Agency determines that it can certify that a final rule will not have a SISNOSE, then the Small Entity Compliance Guide requirement does not apply.

Part 5: Final Rules With Completed Compliance Guides

This section lists rules for which Small Entity Compliance Guides were prepared and for each rule includes two dates: 1) final rule publication and 2) issuance of Small Entity Compliance Guide. A copy of a completed Small Entity Compliance Guide may be obtained by contacting EPA's Regulatory Management Division at 202-260-5480.

Part 1: Planned Proposed Rules of Interest to Small Entities

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	SAN Tier	Title	Small Business	Government	Non-Profit Organizations	Projected Federal Register Publication Date
Office of the Administrator						
1	SAN: 4056	Utilization of Small, Minority and Women's Business Enterprises in Procurement Under Assistance Agreements (Tier: 2)	X	X	X	09/00/2000
Office of Air and Radiation						
1	SAN: 4346	NESHAP: Lightweight Aggregate (Tier: 3)	X			01/00/2001
2	SAN: 4343	NESHAP: Ceramics (Tier: 3)	X			05/00/2001
3	SAN: 4325	NESHAP: Brick and Structural Clay Products (Tier: 3)	X			02/00/2001
4	SAN: 4309	National VOC Emission Standards for Consumer Products; Proposed Amendments (Tier: 3)	X			12/00/1999
5	SAN: 4287	National Volatile Organic Compound Emission Standard for Architectural Coatings; Proposed Amendments (Tier: 3)	X	X		12/00/1999
6	SAN: 4251	Control of Emissions of Air Pollution from New Compression-Ignition and Spark-Ignition Recreational Marine Engines (Tier: 3)	X			12/00/1999
7	SAN: 4154	Control of Emissions from New Nonroad Spark-Ignition Engines Rated above 19 Kilowatts and New Land-Based Recreational Spark-Ignition Engines (Tier: 3)	X			09/00/2000
8	SAN: 4113	NESHAP: Clay Products Minerals (Tier: 3)	X			05/00/2001
9	SAN: 4104	NESHAP: Hydrochloric Acid Production Industry (Tier: 3)	X			05/00/2001
10	SAN: 4103	NESHAP: Organic Hazardous Air Pollutants From the Synthetic Organic Chemical Industry (SOCMI) & Other Processes Subject to the Negotiated Regulation for Equipment Leaks (Tier: 3)	X			10/00/1999
11	SAN: 4082	NESHAP: Wet-formed Fiberglass Mat Production (Tier: 3)	X			11/00/1999

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	SAN Tier	Title	Small Business	Government	Non-Profit Organizations	Projected Federal Register Publication Date
Office of Air and Radiation						
12	SAN: 4046	Federal Major New Source Review (NSR) Program for Nonattainment Areas (Tier: 1)	X	X		07/00/2000
13	SAN: 4043	Control of Emissions of Air Pollution From 2004 and Later Model Year Heavy-Duty Highway Engines and Vehicles; Revision of Light-Duty Truck Definition (Tier: 2)	X			10/00/1999
14	SAN: 3975	Review of Minor New Sources and Modifications in Indian Country (Tier: 2)	X	X		08/00/2000
15	SAN: 3973	NESHAP: Flexible Polyurethane Foam Fabrication Operations (Tier: 2)	X			03/00/2001
16	SAN: 3969	NESHAP: Municipal Solid Waste Landfills (Tier: 2)		X		04/00/2000
17	SAN: 3907	NESHAP: Automobile and Light-Duty Truck Manufacturing (Surface Coating) (Tier: 3+)	X			02/00/2001
18	SAN: 3903	NESHAP: Vegetable Oil Production (Tier: 3)	X			11/00/1999
19	SAN: 3899	NESHAP: Friction Products Manufacturing (Tier: 2)	X			05/00/2001
20	SAN: 3826	Plastic Parts (Surface Coating) NESHAP (Tier: 3)	X			02/00/2001
21	SAN: 3825	NESHAP: Miscellaneous Metal Parts and Products (Surface Coating) (Tier: 3)	X			02/00/2001
22	SAN: 3823	NESHAP: Large Appliance (Surface Coating) (Tier: 3)	X			08/00/2000
23	SAN: 3820	NESHAP: Plywood and Composite Wood Products (Tier: 3)	X			09/00/2000
24	SAN: 3754	Petroleum Solvent Dry Cleaners Maximum Achievable Control Technology (MACT) Standard (Tier: 3+)	X			03/00/2001
25	SAN: 3751	NSPS: New Source Performance Standards and Emission Guidelines for Other Solid Waste Incinerators (Tier: 2)		X		11/00/2000

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	SAN Tier	Title	Small Business	Government	Non-Profit Organizations	Projected Federal Register Publication Date
Office of Air and Radiation						
26	SAN: 3746	NESHAP: Paint Stripping Operations (Tier: 3)	X			05/00/2001
27	SAN: 3656	NESHAP/NSPS: Reciprocating Internal Combustion Engine (Tier: 2)	X	X		08/00/2000
28	SAN: 3652	NESHAP: Refractories Manufacturing (Tier: 3)	X			05/00/2001
29	SAN: 3613	New Source Performance Standards and Emission Guidelines for Commercial and Industrial Solid Waste Incineration Units (Tier: 2)	X			11/00/1999
30	SAN: 3551	Amendments to General Provisions Subparts A and B for 40 CFR 63 (Tier: 3)	X			11/00/1999
31	SAN: 3452	NESHAP: Miscellaneous Organic Chemical Production and Processes (Tier: 2)	X			03/00/2000
32	SAN: 3407	Method 301: Field Validation of Pollution Measurement Methods for Various Media; Revisions (Tier: 3)		X		06/00/2000
33	SAN: 3326	NESHAP: Reinforced Plastic Composites Production (Tier: 2)	X			04/00/2000
34	SAN: 3263	Performance Warranty and Inspection/Maintenance Test Procedures (Tier: 3)	X			05/00/2000
35	SAN: 3262	Inspection/Maintenance Recall Requirements (Tier: 3)	X			08/00/2000
36	SAN: 2841	NESHAP: Chromium Electroplating Amendment (Tier: 2)	X			12/00/1999
Office of Administration and Resources Management						
1	SAN: 4319	Revisions to Acquisition Regulation Concerning Conflict of Interest (Tier: 3)	X			01/00/2000
2	SAN: 4191	Revision to EPAAR 1552.211-73, Level of Effort (Tier: 3)	X			10/00/2000

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	SAN Tier	Title	Small Business	Government	Non-Profit Organizations	Projected Federal Register Publication Date
Office of Administration and Resources Management						
3	SAN: 3876	Incrementally Funding Fixed Price Contracts (Tier: 3)	X			03/00/2000
4	SAN: 3629	EPA Mentor-Protege Program (Tier: 3)	X			01/00/2000
Office of General Counsel						
1	SAN: 4180	Rewriting of EPA Regulations Implementing the Freedom of Information Act (Tier: 3)	X	X	X	01/00/2000
Office of Prevention, Pesticides and Toxic Substances						
1	SAN: 4376	Lead-Based Paint Activities Rules; Training, Accreditation, and Certification Rule and Model State Plan Rule--Building and Structures Section 402(a) (Tier: 2)	X	X		09/00/2000
2	SAN: 4265	TRI; Revisions to the Otherwise Use Activity Exemptions and the Coal Extraction Activities Exemption (Tier: 3) <i>* Rule has moved to Office of Environmental Information *</i>	X	X		04/00/2000
3	SAN: 4175	Pesticide Tolerance Reassessment Program (Tier: 2)	X	X		12/00/1999
4	SAN: 4173	Data Requirements for Antimicrobial Registrations (Tier: 3)	X			03/00/2000
5	SAN: 4172	Lead Notification Rule (Tier: 3+)	X	X	X	12/00/1999
6	SAN: 4015	TRI; Review of Chemicals on the Original TRI List (Tier: 1) <i>* Rule has moved to Office of Environmental Information *</i>	X			12/00/2000
7	SAN: 3990	HPV Test Rule (Tier: 3)	X			10/00/1999
8	SAN: 3894	Oversight of Transgenic Plants (Tier: 3)	X		X	12/00/2000
9	SAN: 3882	Test Rule for Certain Metals (Tier: 2)	X			01/00/2000

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	SAN Tier	Title	Small Business	Government	Non-Profit Organizations	Projected Federal Register Publication Date
Office of Prevention, Pesticides and Toxic Substances						
10	SAN: 3877	TRI Phase 3 Expansion (Tier: 1) <i>* Rule has moved to Office of Environmental Information *</i>	X			12/00/2001
11	SAN: 3557	Lead-Based Paint Activities; Training and Certification for Renovation and Remodeling Section 402(c)(3) (Tier: 2)	X	X		09/00/2000
12	SAN: 3494	Test Rules; Generic Entry for Proposed Decisions (Tier: 3)	X			12/00/1999
13	SAN: 3007	TRI; Chemical Expansion; Finalization of Deferred Chemicals (Tier: 1) <i>* Rule has moved to Office of Environmental Information *</i>	X	X	X	07/00/2000 09/00/2000
14	SAN: 2865	Children's Health Test Initiative (Tier: N/A)	X			09/00/2000
15	SAN: 2847	TRI; Pollution Prevention Act Information Requirements (Tier: N/A) <i>* Rule has moved to Office of Environmental Information *</i>	X			12/00/1999
16	SAN: 2687	Data Requirements for Pesticide Registration (Revision) (Tier: 3)	X			05/00/2000
17	SAN: 2563	Test Rule; ATSDR Substances (Tier: 3)	X			12/00/1999
18	SAN: 2249	Asbestos Worker Protection Rule Amendments (Tier: 3)		X		09/00/2000
19	SAN: 2245	Test Rules; Negotiated Consent Order and Test Rule Procedures (Tier: 3)	X			06/00/2000
20	SAN: 1923	Follow-Up Rules on Existing Chemicals (Tier: 3)	X	X		03/00/2000 05/00/2000 10/00/2000 10/00/2000 06/00/2000 05/00/2001 05/00/2001 12/00/1999 05/00/2001

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	SAN Tier	Title	Small Business	Government	Non-Profit Organizations	Projected Federal Register Publication Date
Office of Solid Waste and Emergency Response						
1	SAN: 4093	Reinventing the Land Disposal Restrictions Program (Tier: 3)	X			11/00/1999 11/00/2000
2	SAN: 4091	Modifications to RCRA Rules Associated With Solvent-Contaminated Shop Towels and Wipers (Tier: 2)	X			07/00/2000
3	SAN: 4084	RCRA Reporting and Recordkeeping Burden Reduction (Tier: 3)	X	X	X	11/00/2000
4	SAN: 3668	Hazardous Waste Identification; Recycled Used Oil Management Standards (Tier: 3)	X			00/00/00
Office of Water						
1	SAN: 4375	Revision to Clean Water Act Regulatory Definition of "Fill Material" (Tier: 3)	X	X		02/00/2000
2	SAN: 4373	Unregulated Contaminant Monitoring Rule - List 2 (Tier: 3)	X	X	X	04/00/2000
3	SAN: 4369	Regulated Drinking Water Contaminant Occurrence Reporting (Tier: 2)	X	X	X	11/00/2000
4	SAN: 4342	Stage 2 Disinfectants/Disinfection Byproducts Rule (Tier: 1)	X	X		02/00/2001
5	SAN: 4341	Long Term 2 Enhanced Surface Water Treatment Rule (Tier: 1)	X	X		02/00/2001
6	SAN: 4280	Effluent Guidelines for the Construction and Development Industry (Tier: 2)	X	X		12/00/2000
7	SAN: 4261	Further Revisions to Clean Water Act Definition of Discharge of Dredged Material (Tier: 3)	X	X		12/00/1999
8	SAN: 4235	Amend the Final Water Quality Guidance for the Great Lakes System to Prohibit Mixing Zone for Bioaccumulative Chemicals of Concern (Tier: 3)	X	X		10/00/1999
9	SAN: 4214	Test Procedures for the Analysis of E. Coli and Enterococci Under the Clean Water Act (Tier: 3)	X	X	X	12/00/1999

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	SAN Tier	Title	Small Business	Government	Non-Profit Organizations	Projected Federal Register Publication Date
Office of Water						
10	SAN: 4212	Use of Screening Procedures for Compliance Monitoring of Drinking Water Contaminants (Tier: 3)	X	X	X	04/00/2000
11	SAN: 4207	Amendments to Round I Final Sewage Sludge Use or Disposal Rule -- Phase Two (Tier: 3)	X	X		07/00/2000
12	SAN: 4168	Revisions to Effluent Guidelines and Standards for the Coal Mining Point Source Category (Tier: 3)	X			12/00/1999
13	SAN: 4167	Effluent Limitations Guidelines and Standards for the Feedlots Point Source Category, Dairy and Beef Cattle Subcategories (Tier: 2)	X			12/00/2000
14	SAN: 4153	Effluent Guidelines and Standards for the Feedlots Point Source Category, Swine and Poultry Subcategories, and NPDES Regulation for Concentrated Animal Feeding Operations (Tier: 1)	X			12/00/1999
15	SAN: 4147	Long Term 1 Enhanced Surface Water Treatment/Filter Backwash Rule (Tier: 2)	X	X	X	12/00/1999
16	SAN: 4049	Test Procedures for the Analysis of Co-Planar and Mono-Ortho-Substituted Polychlorinated Biphenyls (PCBs) Under the Clean Water Act (Tier: 3)	X	X	X	06/00/2001
17	SAN: 4047	Test Procedures for the Analysis of Cryptosporidium and Giardia Under the Safe Drinking Water and Clean Water Acts (Tier: 3)	X	X	X	12/00/1999
18	SAN: 3999	Revisions to NPDES Requirements for Municipal Sanitary Sewer Collection Systems (Tier: 3)		X		05/00/2000
19	SAN: 3833	Effluent Guidelines and Standards for Iron and Steel Manufacturing Point Source Category (Tier: 1)	X	X		10/00/2000
20	SAN: 3804	Streamlining 301(h) Waiver Renewal Requirements (Tier: 3)		X		12/00/2001
21	SAN: 3786	NPDES Streamlining Rule -- Round III (Tier: 3)	X	X		10/00/2000

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	SAN Tier	Title	Small Business	Government	Non-Profit Organizations	Projected Federal Register Publication Date
Office of Water						
22	SAN: 3761	Streamlining Drinking Water Monitoring Requirements (Tier: 3)	X	X	X	00/00/00
23	SAN: 3702	Guidelines Establishing Test Procedures for the Analysis of Trace Metals Under the Clean Water Act (Tier: 3)	X	X	X	08/00/2001
24	SAN: 3618	Guidelines Establishing Whole Effluent Toxicity West Coast Test Procedures for the Analysis of Pollutants Under the Clean Water Act (Tier: 3)	X	X	X	01/00/2001
25	SAN: 3488	Standards for the Use or Disposal of Sewage Sludge (Round II) (Tier: 2)		X		12/00/1999
26	SAN: 3444	Minimizing Adverse Environmental Impact from Cooling Water Intake Structures Under Section 316(b) of the Clean Water Act (Tier: 1)	X	X		10/00/2000 05/00/2002
27	SAN: 3238	National Primary Drinking Water Standards for Aldicarb (Tier: 3)	X	X	X	00/00/00
28	SAN: 3234	Revision of NPDES Industrial Permit Application Requirements and Form 2C--Wastewater Discharge Information (Tier: 3)	X			00/00/00
29	SAN: 2807	National Primary Drinking Water Regulations: Arsenic (Tier: 2)	X	X	X	01/00/2000
30	SAN: 2806	Effluent Guidelines and Standards for the Metal Products and Machinery Category, Phases 1 and 2 (Tier: 2)	X	X		10/00/2000
31	SAN: 2804	Clean Water Act Definition of the Waters of the United States (Tier: 3)	X	X		12/00/1999
32	SAN: 2737	Revisions to Ocean Dumping Regulations for Dredged Material (Tier: 3)		X		12/00/2002
33	SAN: 2340	National Primary Drinking Water Regulations: Ground Water Rule (Tier: 2)	X	X	X	01/00/2000
34	SAN: 2281	National Primary Drinking Water Regulations: Radon (Tier: 1)	X	X	X	11/00/1999

Part 2: Planned Proposed Rules Which May Require a SBAR Panel

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	SAN Tier	Title	Informal Request to SBA	Formal Request to SBA	SBAR Panel Convened	NPRM Published	Comments, Status, Highlights, Milestones
Office of Air and Radiation							
1	SAN: 4355 (Tier: 1)	Heavy-Duty Engine Emission Standards and Diesel Fuel Sulfur Control Requirements Agency Contact: D. Kopinski, 734 214-4229	08/11/1999	09/03/1999	11/01/1999 Projected	01/02/2000 Projected	
2	SAN: 3975 (Tier: 2)	Review of Minor New Sources and Modifications in Indian Country Agency Contact: M. Dubow, 919 541-3803				08/02/2000 Projected	
3	SAN: 3909 (Tier: 3+)	NESHAP: Fabric Printing, Coating and Dyeing Agency Contact: V. Hellwig, 919 541-2317				04/02/2001 Projected	
4	SAN: 3907 (Tier: 3+)	NESHAP: Automobile and Light-Duty Truck Manufacturing (Surface Coating) Agency Contact: D. Salman, 919 541-0859				02/02/2001 Projected	
5	SAN: 3904 (Tier: 3)	NESHAP: Wood Building Products (Surface Coating) Agency Contact: P. Almodovar, 919 541-0283				04/02/2001 Projected	
6	SAN: 3837 (Tier: 2)	NESHAP: Industrial, Commercial and Institutional Boilers Agency Contact: J. Eddinger, 919 541-5426				11/02/2000 Projected	
7	SAN: 3827 (Tier: 3)	Paper and Other Web Coating NESHAP Agency Contact: D. Brown, 919 541-5305				03/02/2000 Projected	
8	SAN: 3826 (Tier: 3)	Plastic Parts (Surface Coating) NESHAP Agency Contact: K. Teal, 919 541-5580				02/02/2001 Projected	
9	SAN: 3825 (Tier: 3)	NESHAP: Miscellaneous Metal Parts and Products (Surface Coating) Agency Contact: B. Moore, 919 541-5460				02/02/2001 Projected	
10	SAN: 3656 (Tier: 2)	NESHAP/NSPS: Reciprocating Internal Combustion Engine Agency Contact: S. Roy, 919 541-5263	03/19/1999			08/02/2000 Projected	

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	SAN Tier	Title	Informal Request to SBA	Formal Request to SBA	SBAR Panel Convened	NPRM Published	Comments, Status, Highlights, Milestones
Office of Air and Radiation							
11	SAN: 3479 (Tier: 2)	Revisions to the Definition of Potential to Emit Agency Contact: C. Holmes, 202 260-8709	08/23/1996	10/02/1996		07/02/2000 <i>Projected</i>	OAR and OECA are developing this rule.
12	SAN: 3326 (Tier: 2)	NESHAP: Reinforced Plastic Composites Production Agency Contact: K. Barnett, 919 541-5605	09/04/1998			04/02/2000 <i>Projected</i>	Statutory Deadline: 11/15/2000
Office of Prevention, Pesticides and Toxic Substances							
1	SAN: 4376 (Tier: 2)	Lead-Based Paint Activities Rules; Training, Accreditation, and Certification Rule and Model State Plan Rule--Building and Structures Section 402(a) Agency Contact: E. Clark, 202 260-3402				09/02/2000 <i>Projected</i>	
2	SAN: 3557 (Tier: 2)	Lead-Based Paint Activities; Training and Certification for Renovation and Remodeling Section 402(c)(3) Agency Contact: E. Clark, 202 260-3402	06/15/1999	09/08/1999	11/07/1999 <i>Projected</i>	09/02/2000 <i>Projected</i>	
Office of Solid Waste and Emergency Response							
1	SAN: 4091 (Tier: 2)	Modifications to RCRA Rules Associated With Solvent-Contaminated Shop Towels and Wipers Agency Contact: J. O'Leary, 703 308-8827				07/02/2000 <i>Projected</i>	
Office of Water							
1	SAN: 4342 (Tier: 1)	Stage 2 Disinfectants/Disinfection Byproducts Rule Agency Contact: T. Grubbs, 202 260-7270				02/02/2001 <i>Projected</i>	Statutory Deadline: 02/28/2001
2	SAN: 4341 (Tier: 1)	Long Term 2 Enhanced Surface Water Treatment Rule Agency Contact: T. Grubbs, 202 260-7270				02/02/2001 <i>Projected</i>	Statutory Deadline: 02/28/2001

Part 2: Planned Proposed Rules Which May Require a SBAR Panel

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	SAN Tier	Title	Informal Request to SBA	Formal Request to SBA	SBAR Panel Convened	NPRM Published	Comments, Status, Highlights, Milestones
Office of Water							
3	SAN: 4167 (Tier: 2)	Effluent Limitations Guidelines and Standards for the Feedlots Point Source Category, Dairy and Beef Cattle Subcategories Agency Contact: R. Jordan, 202 260-7115	08/06/1999	09/02/1999	11/01/1999 <i>Projected</i>	12/01/2000 <i>Projected</i>	Judicial Deadline: 12/31/2000
4	SAN: 4153 (Tier: 1)	Effluent Guidelines and Standards for the Feedlots Point Source Category, Swine and Poultry Subcategories, and NPDES Regulation for Concentrated Animal Feeding Operations Agency Contact: J. Goodwin, 202 260-7152	08/06/1999	09/02/1999	11/01/1999 <i>Projected</i>	12/01/1999 <i>Projected</i>	OW is in the process of negotiating an extension to the judicial deadline. Judicial Deadline: 12/31/1999
5	SAN: 3999 (Tier: 3)	Revisions to NPDES Requirements for Municipal Sanitary Sewer Collection Systems Agency Contact: S. Centilla, 202 260-6052				05/01/2000 <i>Projected</i>	
6	SAN: 3444 (Tier: 1)	Minimizing Adverse Environmental Impact from Cooling Water Intake Structures Under Section 316(b) of the Clean Water Act Agency Contact: D. Nagle, 202 260-2656				10/01/2000 <i>Projected</i>	Judicial Deadline: 07/02/1999
7	SAN: 3234 (Tier: 3)	Revision of NPDES Industrial Permit Application Requirements and Form 2C--Wastewater Discharge Information Agency Contact: J. Lape, 202 260-6057	12/02/1996	11/03/1997		00/00/00 <i>Projected</i>	
8	SAN: 2806 (Tier: 2)	Effluent Guidelines and Standards for the Metal Products and Machinery Category, Phases 1 and 2 Agency Contact: S. Zuskin, 202 260-7130	08/11/1999	09/07/1999	12/01/1999 <i>Projected</i>	10/01/2000 <i>Projected</i>	Judicial Deadline: 10/31/2000

Part 3: Rules With Completed SBAR Panels

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	SAN Tier	Title	Convened SBAR Panel	Signed Panel Report	NPRM Published	Comments, Status, Highlights, Milestones
Office of Air and Radiation						
1	SAN: 4251 (Tier: 3)	Control of Emissions of Air Pollution from New Compression-Ignition and Spark-Ignition Recreational Marine Engines Agency Contact: H. Pugliese, 734 214-4288	06/07/1999	08/25/1999		
2	SAN: 4096 (Tier: 1)	Federal Implementation Plans (FIPs) To Reduce the Regional Transport of Ozone in the Eastern United States Agency Contact: D. Grano, 919 541-3292	06/23/1998	08/21/1998	10/21/1998	
3	SAN: 4095 (Tier: 3)	Findings of Significant Contribution and Rulemaking on Section 126 Petitions for Purposes of Reducing Interstate Ozone Transport Agency Contact: C. Oldham, 919 541-3347	06/23/1998	08/21/1998	09/30/1998	
4	SAN: 3645 (Tier: 1)	Control of Emissions of Air Pollution From Highway Heavy-Duty Engines and Diesel Engines Agency Contact: T. Wysor, 734 214-4332	03/25/1997	05/23/1997	09/24/1997	
5	4211 (Tier: 1)	Tier II Light-Duty Vehicle and Light-Duty Truck Emission Standards and Gasoline Sulfur Standards Agency Contact: T. Wysor, 734 214-4332	08/27/1998	10/26/1998	05/13/1999	
Office of Water						
1	SAN: 4147 (Tier: 2)	Long Term 1 Enhanced Surface Water Treatment/Filter Backwash Rule Agency Contact: S. Potts, 202 260-5015	08/21/1998	10/19/1998		This rule and SAN 4146 have been combined into one rule.
2	SAN: 4146 (Tier: 2)	Filter Backwash Recycling Regulation Agency Contact: W. Hamele, 202 260-2584	08/21/1998	10/19/1998		This rule and SAN 4147 have been combined into one rule.
3	SAN: 3785 (Tier: 3+)	NPDES Comprehensive Storm Water Phase II Regulations Agency Contact: G. Utting, 202 260-9530	06/19/1997	08/07/1997	01/09/1998	Certified no SISNOSE; will not have a Small Entity Compliance Guide.
4	SAN: 3209 (Tier: 3)	Effluent Guidelines and Standards for the Industrial Laundries Point Source Category Agency Contact: M. Jordan, 202 260-0817	06/06/1997	08/08/1997	12/17/1997	Withdrawn; do not plan to issue final rule.

Part 3: Rules With Completed SBAR Panels

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	SAN Tier	Title	Convened SBAR Panel	Signed Panel Report	NPRM Published	Comments, Status, Highlights, Milestones
Office of Water						
5	SAN: 3204 (Tier: 1)	Effluent Guidelines and Standards for the Transportation Equipment Cleaning Category Agency Contact: J. Tinger, 202 260-4992	07/16/1997	09/23/1997	06/25/1998	
6	SAN: 2807 (Tier: 2)	National Primary Drinking Water Regulations: Arsenic Agency Contact: I. Dooley, 202 260-9531	03/30/1999	06/04/1999		
7	SAN: 2805 (Tier: 3)	Effluent Guidelines and Standards for the Centralized Waste Treatment Industry Agency Contact: J. Matuszko, 202 260-9126	11/06/1997	01/23/1998	01/13/1999	
8	SAN: 2778 (Tier: 2)	Revisions to the Underground Injection Control Regulations for Class V Injection Wells Agency Contact: R. Delehanty, 202 260-1993	02/17/1998	04/17/1998	07/29/1998	
9	SAN: 2340 (Tier: 2)	National Primary Drinking Water Regulations: Ground Water Rule Agency Contact: T. Bone, 202 260-2954	04/10/1998	06/09/1998		
10	SAN: 2281 (Tier: 1)	National Primary Drinking Water Regulations: Radon Agency Contact: S. Malm, 202 260-0417	07/09/1998	09/18/1998	11/02/1999	

Part 4: Planned Final Rules Which May Require a Small Entity Compliance Guides

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	SAN Tier	Title	Final Rule Published	Issue Compliance Guide	Comments, Status, Highlights, Milestones
Office of Air and Radiation					
1	SAN: 4096 (Tier: 1)	Federal Implementation Plans (FIPs) To Reduce the Regional Transport of Ozone in the Eastern United States Agency Contact: D. Grano, 919 541-3292	00/00/00Projected	00/00/00 Projected	Court ordered "STAY"
2	SAN: 4095 (Tier: 3)	Findings of Significant Contribution and Rulemaking on Section 126 Petitions for Purposes of Reducing Interstate Ozone Transport Agency Contact: C. Oldham, 919 541-3347	05/25/1999	12/00/1999 Projected	
3	4211 (Tier: 1)	Tier II Light-Duty Vehicle and Light-Duty Truck Emission Standards and Gasoline Sulfur Standards Agency Contact: T. Wysor, 734 214-4332	12/00/1999Projected	12/00/2000 Projected	Rule will have a distant compliance date. Fact Sheets will be issued as an interim measure.
Office of Prevention, Pesticides and Toxic Substances					
1	SAN: 3244 (Tier: 2)	Lead-Based Paint Activities Rules; Training, Accreditation, and Certification Rule and Model State Plan Rule--Section 402(a) Agency Contact: E. Clark, 202 260-3402	08/29/1996	03/00/2000 Projected	Certification effective date extended to 03/00/00. Guidance Currently developing Small Entity Compliance Guides with affected small entities.
Office of Water					
1	SAN: 3204 (Tier: 1)	Effluent Guidelines and Standards for the Transportation Equipment Cleaning Category Agency Contact: J. Tinger, 202 260-4992	06/00/2000Projected	09/00/2000 Projected	Judicial Deadline: 06/30/2000
2	SAN: 2805 (Tier: 3)	Effluent Guidelines and Standards for the Centralized Waste Treatment Industry Agency Contact: J. Matuszko, 202 260-9126	10/00/1999Projected	11/00/2000 Projected	Judicial Deadline: 08/31/2000

Part 4: Planned Final Rules Which May Require a Small Entity Compliance Guides

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	SAN Tier	Title	Final Rule Published	Issue Compliance Guide	Comments, Status, Highlights, Milestones
Office of Water					
3	SAN: 2778 (Tier: 2)	Revisions to the Underground Injection Control Regulations for Class V Injection Wells Agency Contact: R. Delehanty, 202 260-1993	12/00/1999Projected	04/00/2000 Projected	Judicial Deadline: 11/30/1999
4	SAN: 2772 (Tier: 1)	National Primary Drinking Water Regulations: Stage I Disinfectant/Disinfection By-Products Rule Agency Contact: T. Grubbs, 202 260-7270	12/16/1998	12/00/1999 Projected	

Part 5: Final Rules With Completed Small Entity Compliance Guides

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	SAN Tier	Title	Final Rule Published	Issue Compliance Guide	Comments, Status, Highlights, Milestones
Office of Air and Radiation					
1	SAN: 3658 (Tier: 3)	National VOC Emission Standards for Consumer Products Agency Contact: B. Moore, 919 541-5460	09/11/1998	03/01/1999	
2	SAN: 3645 (Tier: 1)	Control of Emissions of Air Pollution From Highway Heavy-Duty Engines and Diesel Engines Agency Contact: T. Wysor, 734 214-4332	10/23/1998	03/01/1999	
3	SAN: 3351 (Tier: 2)	VOC Regulation for Architectural Coatings Agency Contact: L. Herring, 919 541-5358	09/11/1998	07/01/1999	